

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

MARY A. ROCKETT,) Civil No. 10-0163-DMS(WVG)
Plaintiff,)
v.) REPORT AND RECOMMENDATION:
MICHAEL J. ASTRUE,)
Respondent.) DENYING PLAINTIFF'S MOTION FOR
) SUMMARY JUDGMENT (DOC. # 23)
) GRANTING DEFENDANT'S MOTION
) FOR SUMMARY JUDGMENT
) (DOC. # 25)
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I

INTRODUCTION

Plaintiff Mary A. Rockett (hereinafter "Plaintiff"), filed a Complaint for Judicial Review and Remedy On Administrative Decision Under the Social Security Act [42 U.S.C. §405(g)]. Defendant Michael J. Astrue (hereinafter "Defendant"), filed an Answer to the Complaint and the administrative record pertaining to this case. Plaintiff has filed a Motion for Summary Judgement. Defendant has filed an Opposition to Plaintiff's Motion for Summary Judgment and a Cross-Motion for Summary Judgment.

1 The Court, having reviewed Plaintiff's Motion for Summary
2 Judgment, Defendant's Opposition to Plaintiff's Motion for Summary
3 Judgment, Defendant's Cross-Motion for Summary Judgment, the
4 supplemental briefing by both parties, and the administrative record
5 filed by Defendant, hereby finds that Plaintiff is not entitled to
6 the relief requested and therefore RECOMMENDS that Plaintiff's
7 Motion for Summary Judgement be DENIED and Defendant's Motion for
8 Summary Judgment be GRANTED.

III

PROCEDURAL HISTORY

11 Plaintiff filed concurrent applications for benefits based on
12 disability in July 2001. On August 9, 2002, the Honorable Peter J.
13 Valentino, Administrative Law Judge, denied Plaintiff's application.
14 On February 22, 2005, Plaintiff filed subsequent applications. On
15 June 24, 2005, Plaintiff's applications were denied. Plaintiff did
16 not appeal. (Administrative Record [hereinafter "AR"] at 11).

17 In this case, on March 27, 2007, Plaintiff filed applications
18 for Supplemental Security Income benefits and Disability Insurance
19 Benefits, alleging that she had been disabled since January 31,
20 2007. (AR at 116-122). The Commissioner of Social Security denied
21 her application initially and upon reconsideration. (AR at 67-71,
22 77-81). On June 9, 2009, a hearing was held at which Plaintiff
23 appeared with counsel and testified before the Honorable Larry B.
24 Parker, Administrative Law Judge (hereinafter "the ALJ"). (AR at 18-
25 50). On June 30, 2009, the ALJ found that Plaintiff was not
26 disabled. (AR at 10-17). On July 13, 2009, Plaintiff's attorney
27 filed a Request for Review of Hearing Decision. On November 20,
28 2009, the ALJ's decision became the final decision of the Commis-

1 sioner of Social Security when the Appeals Council denied Plaintiff
 2 tiff's request for review. (AR at 1-3).

3 On January 20, 2010, Plaintiff filed her Complaint for
 4 Judicial Remedy and Review on Administrative Decision (hereinafter
 5 "Complaint"). On January 28, 2010, Plaintiff filed a supplemental
 6 document with additional evidence. On September 9, 2010, Plaintiff
 7 moved for Entry of Default. On September 14, 2010, the District
 8 Judge assigned to this case denied the Motion, noting that Plaintiff
 9 had not completed service upon Defendant. On September 21, 2010,
 10 Plaintiff filed a Declaration of Service.^{1/} On November 19, 2010,
 11 Defendant filed an Answer to the Complaint (hereinafter "Answer")
 12 and the administrative record.

13 On December 29, 2010, Plaintiff filed a Motion for Summary
 14 Judgment. On February 4, 2011, Defendant filed an Opposition to
 15 Plaintiff's Motion for Summary Judgment and a Cross-Motion for
 16 Summary Judgment (hereinafter "Defendant's Opposition"). On March 4,
 17 2011, Plaintiff filed an Opposition to Defendant's Motion for
 18 Summary Judgment. On June 1, 2011, Plaintiff filed additional
 19 evidence.^{2/} On June 16, 2011, Defendant filed a Supplemental Brief
 20 Addressing Additional Evidence Plaintiff Submitted to the Court,
 21 arguing such additional evidence is irrelevant to the determination
 22 of the issues before the court. On June 27, 2011, Plaintiff filed a
 23 supplemental briefing and additional evidence.

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25 ^{1/} The Declaration of Service omits the method used by Plaintiff to serve
 26 Defendant and the date on which service was accomplished.

27 ^{2/} The additional evidence presented by Plaintiff primarily consists of medical
 28 records dated after the ALJ's decision. These records post-date the decision and
 concern, in large part, injuries relating to a October 14, 2009 motor vehicle
 accident. The additional evidence is not relevant to a discussion of whether or
 not the ALJ's determination was correct. Therefore, the Court will not address the
 additional evidence.

1 III

2 STATEMENT OF FACTS

3 Plaintiff was born on July 30, 1957. (AR at 10, 25). She
 4 completed only the 9th grade^{3/} and in the last fifteen years,
 5 Plaintiff has worked as a security guard, an auto parts delivery
 6 driver, and has cared for her grandchildren. (AR at 10, 25, 29-33,
 7 209, 219). She claims that she became unable to work on January 31,
 8 2007 due to fibromyalgia, heart problems, back problems, asthma,
 9 migraines, dyslexia, stress, kidney problems, and blood in her
 10 stool. In addition, Plaintiff complains of depression and anxiety.
 11 (AR at 10, 27, 162). Plaintiff admits to working a limited number of
 12 days subsequent to her alleged onset date of January 31, 2007.^{4/} (AR
 13 at 150-155).

14 On March 27, 2007, a Disability Report regarding Plaintiff
 15 was completed by A. Villasenor. (AR 160). Villasenor apparently
 16 works for the Social Security Administration, although his or her
 17 exact capacity is unspecified. (See AR 156). Villasenor noted that,
 18 during the interview, Plaintiff did not display any difficulties,
 19 and was difficult to interview as she was rude, arrogant, and
 20 forgetful. (AR at 157-168).

21 On June 25, 2007, Plaintiff received a workers compensation
 22 settlement of \$25,100, after other expenses. (AR at 43-44).

23 On September 24, 2007, Plaintiff completed a Function Report.
 24 She alleged that she could no longer cook, clean, play with her

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 26 ^{3/} In a Disability Report completed by Plaintiff, she contradictorily reported
 27 having completed the 12th grade. (AR at 167). At a psychiatric evaluation, she
 reported having completed only 10th grade. (AR at 266).

28 ^{4/} In a Disability Report completed by Plaintiff, she contradictorily reported
 that she did not work subsequent to her disability onset of January 31, 2007. (AR
 at 162).

1 grandchildren, drive for a long time, or work her job. She also
 2 alleged that she could not sleep, raise her arm above her head, or
 3 dress herself.^{5/} She further alleged that she needed reminders to
 4 bathe, among other functional limitations. (AR at 201-207).

5 **A. PHYSICAL MEDICAL HISTORY**

6 1. DR. RICHARD SCOTT CAMPBELL, TREATING PHYSICIAN

7 Plaintiff initially visited Sharp Medical Group in November
 8 2006 due to an alleged back injury resulting from lifting a 40-50
 9 pound box at work on November 26, 2006. (AR at 228)

10 On this date, Plaintiff had "plain film radiographs" taken of
 11 her lumbar spine. Five views of the lumbar spine showed no fracture
 12 or subluxation^{6/} and that Plaintiff's intervertebral disk spaces were
 13 preserved. The results were deemed "negative examination" as read by
 14 Dr. Peter Yang. (AR at 235).

15 Since this alleged injury, Plaintiff reported that she has
 16 experienced constant pain in her lower back, radiating to her
 17 buttocks. (AR at 228). Plaintiff received prescriptions for Vicodin
 18 and Flexeril and was advised to follow up with Occupational Health
 19 Services. (AR at 229).

20 On November 28, 2006, Plaintiff followed up with Occupational
 21 Health Services. Dr. Campbell first evaluated Plaintiff on this
 22 date. Plaintiff reported to Dr. Campbell that she had hurt her back
 23 at work lifting a tub filled with parts. Plaintiff described the
 24 pain as increasing, that she had pain to her left buttocks, and

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 26 ^{5/} Plaintiff claims she cannot raise her arm over her head or neck to comb her
 27 hair. Although she does not specify which arm she is referring, it is probably,
 given the context, that Plaintiff's claim is that she cannot so raise either of
 her arms.

28 ^{6/} Subluxation is an "incomplete luxation or dislocation; though a relationship
 is altered, contact between joint surfaces remains." Stedman's Medical Dictionary,
 27th Ed. (2000).

1 numbness going down the left leg to her foot. (AR at 229). Dr.
 2 Campbell examined Plaintiff and diagnosed her with lumbar strain,
 3 thoracic strain, and left-sided sciatica. She was given prescrip-
 4 tions for ibuprofen, Myoflex Creme, Vicodin ES, and Valium.^{1/}
 5 Plaintiff was also given an injection of Toradol.^{2/} (AR at 229-230).

6 Plaintiff subsequently was seen by the Physician's Assistant
 7 at Sharp Medical Group. Plaintiff reported that her pain had not
 8 gotten better and that she now had numbness in her feet and shooting
 9 pain down her right leg. Plaintiff requested refills of her
 10 medication. (AR at 230).

11 On January 2, 2007, Dr. Campbell re-evaluated Plaintiff.
 12 Plaintiff reported that her pain seemed to be decreasing, although
 13 after intercourse her pain increased significantly. (AR at 230).
 14 Plaintiff complained of bilateral radiculopathy^{3/}, described as
 15 numbness, pain, and tingling. The examination showed that Plaintiff
 16 had significant difficulty moving around. Plaintiff was to continue
 17 with physical therapy, and continue using her ibuprofen, Vicodin,
 18 and Valium. Plaintiff also received an injection of Toradol. (AR at
 19 230).

20 On January 16, 2007, Plaintiff was seen again by Dr.
 21 Campbell. Plaintiff reported that she was doing slightly better and
 22 that her physical therapy was helping, although she was still taking
 23 the Vicodin and Valium periodically and her pain level was still
 24 quite high. Plaintiff's examination was unchanged and she was to

25 ^{1/} Myoflex Creme is an anti-inflammatory drug. See <http://www.drugs.com/cdi/myoflex-cream.html>

26 ^{2/} Toradol is a non-steroidal anti-inflammatory drug used to treat mild to
 27 moderate pain. See Kristyn S. Appleby and Joanne Tarver, Medical Records Review,
 28 § 5.7 Medications, t.5-2.

^{3/} Radiculopathy is a "disorder of the spinal nerve roots." Stedman's Medical
 Dictionary, 27th Ed. (2000).

1 continue with physical therapy. Plaintiff was referred for an MRI as
 2 her symptoms indicated a possible herniated disc. She was given a
 3 refill of Vicodin, Valium, and ThermaCare Patches. (AR at 231).

4 On January 30, 2007, Plaintiff reported that her pain had
 5 increased, which Dr. Campbell thought was odd since she had
 6 previously reported her pain was ten on a scale of one to ten.^{10/}
 7 Plaintiff stated that she hurt her back significantly at work
 8 lifting a 25 pound box and that the lifting and driving requirements
 9 of her job aggravated her back pain. Plaintiff's diagnosis was
 10 modified to lumbar strain with probable herniated nucleus pulposus,
 11 thoracic strain, and bilateral radiculopathy.^{11/} (AR at 231).

12 On February 9, 2007, Plaintiff had an MRI of her lumbar
 13 spine. Findings indicated (1) focal central disk protrusion at L4-5
 14 measuring up to 5MM, (2) no central spinal stenosis^{12/}, and (3) mild
 15 bilateral neural foraminal narrowing^{13/} at L4-4 and L5-S1 as read by
 16 Baseer Khan, M.I. (AR at 235).

17 On February 16, 2007, Plaintiff reported that she was doing
 18 worse, despite having not worked for several days after being sent
 19 home by her employer. Plaintiff stated that the last day she worked,
 20 she lifted a 40 pound box, possibly explaining the increase in her
 21 pain level. (AR at 231).

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^{10/} A ten would indicate the highest level of pain.

^{11/} "Herniated nucleus pulposus" refers to a torn or damaged pulpy center (as in the center of the discs of the spinal cord). See Stedman's Medical Dictionary, 27th Ed. (2000) (entries for "nucleus pulposus"). "Thoracic strain" refers to a strain of the upper part of the trunk, between the neck and abdomen. Id. (entries for "thorax").

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^{12/} Stenosis is a "stricture of any canal or orifice." Stedman's Medical Dictionary, 27th Ed. (2000).

^{13/} "Bilateral neural foraminal narrowing" refers to a narrowing of the natural openings in the spine that allow the spinal cord to pass through.

1 On March 6, 2007, Plaintiff reported that she was doing a
2 little better and that she had been seen by Dr. John Serocki, an
3 orthopedic surgeon. Dr. Campbell spoke with Dr. Serocki, who
4 informed him that he did not feel as if Plaintiff were a candidate
5 for surgery or that corticosteroid injections would be helpful.
6 Plaintiff's diagnosis was modified lumbar strain, thoracic strain,
7 bilateral radiculopathy, L4-5 central disk protrusion of 5mm, and
8 L4-5 neural foraminal narrowing. Plaintiff was sent back to work on
9 a modified status. (AR at 232).

10 On March 19, 2007, it was noted that Plaintiff had been
11 complaining of neck discomfort. Plaintiff was working as a cashier,
12 was not doing any heavy lifting, and was tolerating this work
13 well. (AR at 232).

14 On April 3, 2007, Plaintiff reported an increase in pain and
15 was upset because her chair was taken away at work, which was
16 helping her to be more comfortable at the register. Plaintiff
17 complained of more numbness in her foot and asked for temporary
18 totally disabled status but was refused. (AR at 232).

19 On April 10, 2007, Plaintiff had her permanent and stationary
20 evaluation. Plaintiff reported stabbing pain in her mid-lumbar
21 region. Plaintiff also complained of an inability to turn in bed and
22 continued leg numbness, pain, and tingling. She alleged that when
23 her back symptoms flare up, her leg symptoms do as well. Plaintiff
24 claimed that she occasionally lost her balance and had some tripping
25 and that her neck pain had begun with her initial injury. (AR at
26 232-233).

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1 Plaintiff claimed to have been diagnosed with fibromyalgia
 2 years earlier, initially causing pain in her hands and feet, and now
 3 pain in her arms and hands.^{14/} (AR at 233).

4 Plaintiff complained that she was having difficulty with
 5 multiple activities, including (1) intercourse, (2) walking long
 6 distances, (3) sitting for a long time, (4) cooking, (5) cleaning,
 7 and (6) using stairs. Plaintiff alleged that she cannot even lift a
 8 gallon of milk. (AR at 233-234).

9 Dr. Campbell found that Plaintiff was alert, was in no
 10 apparent distress, but did appear to be sitting uncomfortably on the
 11 examination table. Plaintiff moved around uncomfortably and had
 12 difficulty lying down and getting up from a lying position. (AR at
 13 233).

14 Examination of Plaintiff's back showed no obvious abnormalities
 15 or deformities, but did reveal some tenderness to palpation at
 16 various points. Plaintiff's grip strength measured 0/0/0 on both
 17 hands, although she reported no pain in her hands that day. (AR
 18 at 234). Dr. Campbell noted that Plaintiff got up from the examination
 19 table slowly and that her gait is slightly antalgic.^{15/}

20 Dr. Campbell opined that Plaintiff's condition had reached
 21 permanent and stationary status because her symptoms had essentially
 22 remained unchanged and she had achieved maximum medical improvement.
 23 (AR at 237). Furthermore, he believed Plaintiff required work
 24 restrictions in the open labor market as she could not sit for
 25 longer than one hour, stand for longer than one hour, walk for

27 ^{14/} Fibromyalgia is "a syndrome of chronic pain of musculoskeletal origin but
 uncertain cause." Stedman's Medical Dictionary, 27th Ed. (2000).

28 ^{15/} Antalgic gait is "a characteristic gait resulting from pain on weightbearing
 [leg] in which the stance phase of gait is shortened on the affected side." Stedman's Medical Dictionary, 27th Ed. (2000).

1 longer than one hour, bend or twist repeatedly, lift more than 20
 2 pounds, or push and pull more than 25 pounds. (AR at 238).

3 2. ADAM IANNAZZO, M.P.T.

4 On March 23, 2007, Adam Iannazzo performed a Functional
 5 Capacity Examination on Plaintiff. Mr. Iannazzo's evaluation aimed
 6 to determine whether Plaintiff could meet the essential job
 7 requirements of a delivery driver. (AR at 235). However, the
 8 examination requested was a "fitness for duty" examination and was
 9 not as thorough as Mr. Iannazzo would have done for a Functional
 10 Capacity Examination. (AR at 235)

11 Mr. Iannazzo found that Plaintiff's behavior reflected pain,
 12 but felt she was giving sub-maximal and inconsistent effort.
 13 Therefore, he was unable to determine her abilities. He did note
 14 that Plaintiff was capable of walking without restriction, that she
 15 was unable to squat, that she was able to stand for one hour during
 16 the examination, and that she was able to lift a seven and one half
 17 pound box, but could not lift a 10 pound box. However, she was able
 18 to carry a 10 pound box 30 feet. Furthermore, Plaintiff was able to
 19 carry 15 pounds but reported lower back pain upon doing so and
 20 seemed to have "maxed out." (AR at 235).

21 Mr. Iannazzo noted several inconsistencies between Plaintiff's
 22 testing results and her reported abilities.^{16/} (AR at 235-
 23 236). He concluded that "[t]he detailed inconsistencies above show

24 ^{16/} For example, Mr. Iannazzo states "Plaintiff was unable to transfer self
 25 without antalgia [antalgic gait] into a supine from sitting position, but able to
 26 lift both legs without issue when placing bolster under legs...Grip strength is
 27 so low that [Petitioner] would be essentially nonfunctional without documented
 28 wrist or hand pathology. [Petitioner] able to drive with extremely high pain
 report. Finally, in regard to driving, [Plaintiff] would be a danger to self and
 others if cervical and lumbar active range of motion was actually this restricted
 since she is driving such a large vehicle. When stepping down from her truck, this
 is at least a 21-inch rise from the ground to the step, but the patient reports
 that she is unable to do a 7-inch step for stairs. [Petitioner] entered her truck
 without antalgia at the end of the examination. This is observed from directly
 from the window of the clinic that overlooks the parking lot." (AR at 236).

1 that this client is fabricating her symptoms and lifting impairment
2 for secondary gains and magnifying her symptoms so as to remain out
3 of work, and further medical intervention should be based solely on
4 scientific objective findings since subjective reports are not
5 trustworthy or accurate of the client's actual pain or ability." (AR
6 at 236).

7 3. DR. G.G. SPELLMAN

8 On June 1, 2007, Dr. Spellman performed a Physical Residual
9 Functional Capacity Assessment (hereinafter "RFC") with regard to
10 Plaintiff. Dr. Spellman opined that Plaintiff could (1) occasionally
11 lift/carry twenty pounds, (2) frequently lift/carry ten pounds, (3)
12 stand for six hours of an eight hour work day, (4) sit for six hours
13 of an eight hour work day, and (5) conduct unlimited pushing or
14 pulling (including hand/foot controls). (AR at 242). Additionally,
15 she could occasionally balance, stoop, kneel, crouch, crawl, and
16 climb, but not on ladders. (AR at 243).

17 4. DR. STEVEN GOODMAN

18 On July 4, 2007, Plaintiff was admitted to an emergency room
19 with a left-side migraine and was treated by Dr. Goodman. Her
20 migraine was characterized with sensitivity to light, extreme
21 nausea, and several episodes of vomiting. Dr. Goodman reported that
22 these symptoms are "pretty typical" of Plaintiff's migraines,
23 although "[p]ossibly a little bit more severe than usual." Plaintiff
24 had been outside on a hot day for a significant period of time when
25 the migraine began. Plaintiff reported being completely pain-free an

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1 hour after being given 2mg of Dilaudid and 12.5mg of Phenergan
 2 intravenously.^{17/} (AR at 301).

3 5. JULY 24, 2008 EMERGENCY ROOM VISIT

4 On July 24, 2008, Plaintiff took a bus to the UCSD Medical
 5 Center and was admitted to the emergency room for shoulder pain. The
 6 triage nurse recorded that Plaintiff's pain was dull and began in
 7 her right shoulder before radiating to her arm.^{18/} Plaintiff reported
 8 a pain level of ten out of ten, that the pain was not caused by a
 9 work or domestic violence related injury, and that the pain had
 10 existed for about three weeks. The treating physician, Dr. Angela
 11 Pham, reported being unable to fully assess Plaintiff's shoulder due
 12 to pain. Plaintiff was given prescriptions for Vicodin and Motrin.
 13 Upon discharge, she reported a pain level of two out of ten. (AR at
 14 377-79, 387).

15 6. JULY 24, 2008 X-RAYS

16 On July 24, 2008, Dawn Engelkemier and John Stassen reviewed
 17 x-rays taken of Plaintiff's right shoulder.^{19/} They found normal bone
 18 alignment, no evidence of acute fracture, and nothing remarkable
 19 about Plaintiff's soft tissues. (AR at 385).

20 On the same day, Engelkemier and Stassen reviewed x-rays
 21 taken of Plaintiff's cervical spine. They found no evidence of acute
 22 fracture, dislocation, or misalignment. However, they found

24 ^{17/} Dilaudid is an opiate analgesic, typically prescribed for therapeutic pain
 25 relief. See Physicians Desk Reference (2005) (available at 2005 WL 4060823).
 26 Phenergan is an antihistamine, typically prescribed to treat allergy symptoms. See
<http://en.wikipedia.org/wiki/Phenergan>; see also Wyeth v. Levine, 555 U.S. 555
 (2009) ("Phenergan is Wyeth's brand name for promethazine hydrochloride, an
 antihistamine used to treat nausea.").

27 ^{18/} Although the record states the pain begin in Plaintiff's right shoulder, it
 28 does not state which arm the pain radiated into.

29 ^{19/} It is unclear when these x-rays were taken. Given the similarities as to
 30 date, time, and issue, the Court will assume that the x-rays were taken during
 Plaintiff's emergency room visit that occurred on the same day.

1 "significant degenerative disc disease at C5-C6" and noted that
 2 "[g]iven the severity of the disease at this single level, it is
 3 likely secondary to prior trauma or prior infection." (AR at 386).

4 7. FEBRUARY 7, 2009 EMERGENCY ROOM VISIT

5 On February 7, 2009, Plaintiff took a bus to the UCSD Medical
 6 Center for an MRI and a medication refill. While at the hospital,
 7 she was admitted to the emergency room at the UCSD Medical Center
 8 due to leg pain. The triage nurse recorded that Plaintiff's pain was
 9 constant and began in her left buttock before radiating to her left
 10 leg. Plaintiff reported a pain level of nine out of ten and that the
 11 pain was not caused by a work or domestic violence related injury.
 12 She was prescribed four medications. However, these medications are
 13 not identified in the record. Plaintiff was accompanied by two
 14 grandsons and identified herself as their primary caregiver. Upon
 15 discharge, she reported a pain level of three out of ten. (AR at
 16 377-79, 387).

17 8. DR. MICHAEL SCOTT JAFFE

18 On April 14, 2009, Plaintiff visited Dr. Jaffe, who special-
 19 izes in osteopathic medicine. Her chief complaint was pain in her
 20 neck, right shoulder, and right arm. Dr. Jaffe diagnosed myofascial
 21 pain syndrome, carpal tunnel syndrome, and chronic pain syndrome.^{20/}
 22 He prescribed Lidocaine in 700mg topical patches.^{21/} Dr. Jaffe also
 23 ordered an MRI of Plaintiff's cervical spine and instructed

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^{20/} Myofascial pain syndrome refers to pain in the fibrous tissue separating muscles from each other and from the skin. See Stedman's Medical Dictionary, 27th Ed. (2000) (entries for "myofascial" and "fascia").

^{21/} Lidocaine is a local anaesthetic. Stedman's Medical Dictionary, 27th Ed.

1 Plaintiff to call him two days after the MRI to review the results.
 2 (AR at 343-45).^{22/}

3 Dr. Jaffe noted that Plaintiff was alert, had normal
 4 sensation, normal strength, and normal reflexes. However, he also
 5 noted that Plaintiff had "[g]reater than 11/18 tender points of
 6 Fibromyalgia syndrome" with "no active synovitis".^{23/} (AR at 346).
 7 The nursing notes for this visit listed 40 prescribed medications.
 8 (AR at 347-51).

9 9. DR. JAFFREY

10 On April 14, 2009 (the same day that Plaintiff visited Dr.
 11 Jaffe), Dr. Jaffrey completed a "Physical Capacities Evaluation"
 12 regarding Plaintiff.^{24/} This evaluation indicated that she could sit,
 13 stand, or walk for zero hours at a time. Somewhat inconsistently,
 14 Dr. Jaffrey reported that, out of an eight-hour workday, Plaintiff
 15 was able to sit for two hours, stand for one hour, and walk for one
 16 hour. (AR at 334).

17 In terms of lifting ability, Dr. Jaffrey indicated that
 18 Plaintiff could occasionally lift 6-10 or 11-20 pounds but never
 19 greater than 21 pounds. Dr. Jaffrey did not mark a box for the 0-5
 20 pounds category. (Id.).

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 22
 23 ^{22/} On April 30, 2009, an MRI of Plaintiff's cervical spine was performed by Dr.
 24 Glenn H. Tsukada. He found "mild spinal stenosis" and "moderate ... foraminal
 25 narrowing." (AR at 355-56). On February 7, 2009, an MRI of Plaintiff's lumbar
 spine was performed by Dr. Jon M. Robins. He found a "[s]mall midline disc
 protrusion with annular fissure. No compromise of the central canal or foramina." (AR at 376). An annular fissure is a ring-shaped fissure. See Stedman's Medical
 Dictionary, 27th Ed. (2000).

26 ^{23/} Synovitis is an inflammation of the fluid-containing membranes of a joint.
 27 It is often associated with or used to refer synonymously to arthritis. See
 Stedman's Medical Dictionary, 27th Ed. (2000) (entries for "synovitis" and
 "synovial fluid").

28 ^{24/} The name "Dr. Jaffrey" has been written underneath the signature line on the
 pages of this assessment. (See AR at 330, 332). The same name is typed on one
 page. (See AR at 333). It is likely that Dr. Jaffrey is actually Dr. Jaffe.

1 In terms of carrying ability, Dr. Jaffrey indicated that
 2 Plaintiff could occasionally carry 6-10 pounds but never greater
 3 than 21 pounds. Dr. Jaffrey did not mark a box for the 0-5 or 11-20
 4 pound categories. (Id.).

5 In terms of using her hands, Dr. Jaffrey indicated that
 6 Plaintiff could not push or pull arm controls but that should could
 7 engage in fine manual manipulation. Dr. Jaffrey did not mark a box
 8 for the "simple grasping" category. (Id.).

9 However, on the same day, Dr. Jaffrey completed a one-page
 10 form that stated a diagnosis of "Chronic Pain Syndrome". Dr. Jaffrey
 11 noted that Plaintiff could occasionally engage in fine or gross
 12 manipulative activities with her hands and could rarely engage in
 13 "pushing/pulling activities". (AR at 333).

14 Dr. Jaffrey indicated that Plaintiff could occasionally bend,
 15 squat, or reach but could not crawl or climb. Additionally, Dr.
 16 Jaffrey indicated that Plaintiff was totally restricted from
 17 activities involving unprotected heights, driving automotive
 18 equipment, and exposure to dust, fumes, and gases. (AR at 334).

19 10. DR. ERWIN GUZMAN

20 Two days later, on April 16, 2009, Plaintiff saw Dr. Guzman.
 21 He diagnosed her with asthma, myofascial pain syndrome, and carpal
 22 tunnel syndrome. Plaintiff requested that Dr. Guzman fit her with
 23 wrist splints. He prescribed Qvar in an inhaler, albuterol in an
 24 inhaler, and nortriptyline in 10mg doses.^{25/} Dr. Guzman also ordered
 25 x-rays of Plaintiff's knees and ankles. (AR at 353).

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 28 ^{25/} Qvar and albuterol are anti-inflammatory drugs typically prescribed to treat
 the symptoms of asthma. See Physicians Desk Reference (2005) (available at 2005
 WL 4061219); see also Stedman's Medical Dictionary, 27th Ed. (2000). Nortriptyline
 is an antidepressant. Id.

1 On April 29, 2009, Plaintiff saw Dr. Guzman about her knee
 2 pain. He prescribed Tramadol in 50mg oral tablets.^{26/} At this time,
 3 Plaintiff was already taking the following pain and depression
 4 medications: 1) nortriptyline in 10mg capsules, 2) Lipoderm in 700mg
 5 patches, 3) hydrocodone-acetaminophen in 500mg oral tablets, 4)
 6 carisoprodol in 350mg oral tablets, 5) prednisone in 10mg oral
 7 tablets, 6) Lexapro in 10mg oral tablets, 7) Trazadone in 100mg oral
 8 tablets.^{27/} Plaintiff was also taking medications for a cough and for
 9 asthma. (AR at 336-37). On April 29, 2009, Plaintiff was given a
 10 knee brace. (AR at 367).

11 11. DR. SANDRA CHRISTIANSEN

12 On May 6, 2009, Dr. Christiansen described Plaintiff's asthma
 13 and rhinitis in a letter addressed "[t]o whom it may concern". In
 14 this letter, Dr. Christiansen stated that Plaintiff's respiratory
 15 tract problems were "poorly controlled" and that her exercise was
 16 therefore "limited". (AR at 375).

17 12. NORM HARDMAN, THERAPY SPECIALIST

18 On June 3, 2009, Plaintiff saw Norm Hardman, a therapy
 19 specialist. Plaintiff reported the following limitations: 1) ability
 20 to sit limited to less than 30 minutes, 2) ability to walk limited
 21 to less than 15 minutes, 3) inability to bend without pain. Mr.
 22 Hardman recommended physical therapy twice a week for three to four
 23 weeks. (AR at 410).

24 B. PSYCHIATRIC MEDICAL HISTORY

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 26/ Tramadol is an analgesic drug, typically prescribed to treat moderate to
 27 severe pain. Stedman's Medical Dictionary, 27th Ed. (2000).

27/ Hydrocodone-acetaminophen is the active ingredient in Vicodin. See
 28 <http://en.wikipedia.org/wiki/Hydrocodone/acetaminophen>. Carisoprodol is a
 skeletal muscle relaxant with abuse potential. Stedman's Medical Dictionary, 27th
 Ed. (2000). Prednisone is an anti-inflammatory drug. Id. Lexapro and Trazadone are
 antidepressant drugs. See <http://en.wikipedia.org/wiki/Lexapro>; see
<http://en.wikipedia.org/wiki/Trazadone>.

1 1. DR. MOUNIR SOLIMAN

2 On July 28, 2007, Dr. Soliman conducted a "Complete Psychiat-
 3 ric Evaluation" of Plaintiff at the request of the Department of
 4 Social Services. Dr. Soliman noted Plaintiff brought herself to the
 5 clinic by public transit and that her gait was normal. Plaintiff
 6 reported using the public transit for her transportation needs.
 7 Plaintiff complained of depression; "[d]espite medication, Plaintiff
 8 report[ed] sadness, decreased energy and decreased concentration, as
 9 well as anxiety and irritability." She alleged that she was unable
 10 to work as a result of her physical and psychiatric condition.
 11 However, she reported being able to cook, clean, shop, run errands,
 12 attend to her personal hygiene, and manage her finances. (AR at 266)

13 At the evaluation, Plaintiff's immediate, recent, and remote
 14 memory was tested and found intact. Specifically, she was oriented
 15 to person, place, and time, she correctly recalled three of three
 16 objects after five minutes, and was able to perform serial sevens
 17 without errors.^{28/} Plaintiff's abstract thinking was normal, her
 18 insight and judgment were good, and her reality associations were
 19 not loose. However, she did report auditory hallucinations.
 20 Furthermore, Plaintiff's mood and affect were depressed. (AR at 266-
 21 67).

22 In terms of work, Dr. Soliman determined that Plaintiff was
 23 able to comprehend, remember, and carry out instructions. She could
 24 withstand the stress of a normal eight-hour workday on a day-to-day
 25 basis. (AR at 268).

26

27

28 ^{28/} Serial sevens are a diagnostic test in which the patient performs serial subtraction of sevens from one hundred. It is often used to assess mental status. See http://en.wikipedia.org/wiki/Serial_sevens; see also 20 C.F.R. 404, Subpt. P, App. 1, 12.00.

1 On August 3, 2007, Dr. K.J. Loomis summarized the evidence in
 2 Plaintiff's file, including Dr. Soliman's evaluation, and completed
 3 a Mental Residual Functional Capacity Assessment (hereinafter "First
 4 MRFC"). Dr. Loomis found no significant limitations on Plaintiff's
 5 mental capacity except as to the "ability to understand and remember
 6 detailed instructions" and "ability to carry out detailed instruc-
 7 tions" categories. In these two categories, Dr. Loomis noted that
 8 Plaintiff's capacity was "moderately limited." (AR at 280, 282).

9 2. DR. JAFFREY

10 On the same day (April 14, 2009) that Plaintiff visited Dr.
 11 Jaffe, a second Mental Residual Functional Capacity Assessment
 12 (hereinafter "Second MRFC") was written by Dr. Jaffrey.^{29/} Like the
 13 First MRFC, the Second MRFC summarized evidence in Plaintiff's file.
 14 The Second MRFC indicates that Plaintiff was moderately limited in
 15 ten categories, including "ability to perform activities within a
 16 schedule, maintain regular attendance, and be punctual within
 17 customary tolerances" and "ability to maintain socially appropriate
 18 behavior and to adhere to basic standards of neatness and cleanli-
 19 ness." (AR at 330-31).

20 Furthermore, Dr. Jaffrey indicated in the Second MRFC that
 21 Plaintiff was "markedly limited" in "the ability to complete a
 22 normal workday and workweek without interruptions from psychologi-
 23 cally based symptoms and to perform at a consistent pace without an
 24 unreasonable number and length of rest periods." Unlike Dr. Loomis'
 25 assessment in the First MRFC, Dr. Jaffrey did not complete the

27
 28 ^{29/} As noted supra, it is likely that Dr. Jaffrey is likely the same doctor as
 Dr. Jaffe. If they are the same physician, it is unclear what expertise Dr. Jaffe,
 an osteopathic doctor, has in psychiatry. The paperwork signed by Dr. Jaffrey does
 not list an associated clinic or hospital.

1 narrative section entitled "Functional Capacity Assessment". (AR at
2 331-32).

3. THIRD MRFC

4 On May 6, 2009, a third Mental Residual Functional Capacity
5 Assessment (hereinafter "Third MRFC") was written by a therapist
6 whose signature is illegible. The Third MRFC was based on observa-
7 tions made at two appointments; April 30, 2009 and May 6, 2009. The
8 Third MRFC indicates that Plaintiff was moderately limited in ten
9 categories and markedly limited in four categories. Of the twenty
10 categories, the Third MRFC matches the First MRFC in seven catego-
11 ries and the Second MRFC in six categories. Although the Third MRFC
12 was 21 months after the First MRFC, it was less than 1 month after
13 the Second MRFC. (AR at 397-98; see also AR at 280-82, 330-32).

4. DR. SAMUEL ETCHIE

15 On May 18, 2009, Dr. Etchie confirmed Plaintiff's diagnosis
16 of Major Depressive Disorder with Anxiety Features in a letter
17 addressed "To Whom It May Concern". In this letter, Dr. Etchie noted
18 that Plaintiff had been prescribed Lexapro, Trazadone, and Buspar
19 for daily use.^{30/} (AR at 399).

IV

SUMMARY OF APPLICABLE LAW

22 Title II of the Social Security Act (hereinafter "Act"), as
23 amended, provides for the payment of insurance benefits to persons
24 who have contributed to the program and who suffer from physical or
25 mental disability. 42 U.S.C. § 423(a)(1)(D). Title XVI of the Act
26 provides for the payment of disability benefits to indigent persons
27 under the Supplemental Security Income (SSI) program. § 1382(a).

30/ Buspar is used to treat generalized anxiety disorders. See <http://en.wikipedia.org/wiki/Buspar>

1 Both titles for the Act define "disability" as the "inability to
 2 engage in any substantial gainful activity by reason of any
 3 medically determinable physical or mental impairment which can be
 4 expected to last for a continuous period of not less than 12
 5 months..." Id. The Act further provides that an individual:

6 ...shall be determined to be under a disability only
 7 if his physical or mental impairment or impairments
 8 are of such severity that he is not only unable to do
 9 his previous work but cannot, considering his age,
 10 education, and work experience, engage in any other
 11 kind of substantial gainful work which exists in the
 12 national economy, regardless of whether such work
 13 exists in the immediate area in which he lives, or
 14 whether a specific job vacancy exists for him, or
 15 whether he would be hired if he applied for work. 42
 16 U.S.C. § 423(d)(2)(a).

17 The Secretary of the Social Security Administration has established
 18 a five-step sequential evaluation process for determining whether a
 19 person is disabled. 20 C.F.R. §§ 404.1520, 416.920.

20 Step one determines whether the claimant is engaged in
 21 "substantial gainful activity." If he is, disability benefits are
 22 denied. 20 C.F.R. §§ 404.1520(b), 416.920(b). If he is not, the
 23 decision maker proceeds to step two.

24 Step two determines whether the claimant has a medically
 25 severe impairment or combination of impairments. That determination
 26 is governed by the "severity regulation". The severity regulation
 27 provides in relevant part:

28 If you do not have any impairment or combination of
 29 impairments which significantly limits your physical
 30 or mental ability to do basic work activities, we will
 31 find that you do not have a severe impairment and are,
 32 therefore, not disabled. We will not consider your
 33 age, education, and work experience. §§ 404.1520(c),
 34 416.920(c).

35 The ability to do basic work activities is defined as "the abilities
 36 and aptitudes necessary to do most jobs." 20 C.F.R. §§ 404.1521(b),
 37 416.921(b). Such abilities and aptitudes include "[p]hysica

1 functions such as walking, standing, sitting, lifting, pushing,
 2 pulling, reaching, carrying, or handling;" "[c]apacities for seeing,
 3 hearing, and speaking;" "[u]nderstanding, carrying out, and
 4 remembering simple instructions;" "[u]se of judgment;" "[r]esponding
 5 appropriately to supervision, co-workers, and usual work situa-
 6 tions;" and "[d]ealing with changes in a routine work setting." Id.
 7 If the claimant does not have a severe impairment or combination of
 8 impairments, the disability claim is denied. If the impairment is
 9 severe, the evaluation proceeds to step three.

10 Step three determines whether the impairment is equivalent to
 11 one of a number of listed impairments that the Secretary acknowl-
 12 edges are so severe as to preclude substantial gainful activity. 20
 13 C.F.R. §§ 404.1520(d), 416.920(d). If the impairment meets or equals
 14 one of the listed impairments, the claimant is conclusively presumed
 15 to be disabled. If the impairment is not one that is conclusively
 16 presumed to be disabling, the evaluation proceeds to step four.

17 Step four determines whether the impairment prevents the
 18 claimant from performing work he has performed in the past. If the
 19 claimant is able to perform his previous work, he is not disabled.
 20 C.F.R. §§ 404.1520(e), 416.920(e). If the claimant cannot perform
 21 his previous work, the evaluation proceeds to step five.

22 Step five, the final step of the process, determines whether
 23 he is able to perform other work in the national economy in view of
 24 his age, education, and work experience. The claimant is entitled to
 25 disability benefits only if he is not able to perform other work.
 26 [20 C.F.R. §§ 404.1520(f), 416.920(f)].

27 V
 28

ALJ'S FINDINGS

The ALJ made the following pertinent findings:

- 1 1. [Plaintiff] meets the insured status requirements
2 of the Social Security Act through December 31, 2012.
- 3 2. [Plaintiff] has not engaged in substantial gainful
4 activity since January 31, 2007, the alleged onset
5 date (20 CFR 404.1571 *et seq.*, and 416.971 *et seq.*).
- 6 3. [Plaintiff] has the following severe impairments:
7 degenerative disc disease of the cervical and lumbar
8 spine, obesity, asthma, migraine headaches, an
9 adjustment disorder and an anxiety disorder (20 CFR
10 404.1520(c) and 416.920©)).
- 11 4. [Plaintiff] does not have an impairment or combi-
12 nation of impairments that meets or medically equals one
13 of the listed impairments in 20 CFR Part 404, Subpart
14 P, Appendix 1 (20 CFR 404.1525, 404.1526, 416.925 and
15 416.926).
- 16 5. After careful consideration of the entire record,
17 the undersigned finds that [Plaintiff] has the
18 residual functional capacity to perform light work as
19 defined in 20 CFR 404.1567(b) and 416.967(b) except
20 for any climbing of ladders, ropes or scaffolds and is
21 limited to occasional climbing of ramps and stairs and
22 occasional balancing, stooping, kneeling, crouching
23 and crawling; and more than simple, repetitive tasks
24 if she has minimal or no contact with the general
25 public.
- 26 6. [Plaintiff] is unable to perform any past relevant
27 work (20 CFR 404.1565 and 416.965).
- 28 7. [Plaintiff] was born on July 30, 1957 and was 49
29 years old, which is defined as a younger individual
30 age 18-49, on the alleged disability onset date.
31 [Plaintiff] subsequently changed age category to
32 closely approaching advanced age. (20 CFR 404.1563 and
33 416.963).
- 34 8. [Plaintiff] has a limited education and is able to
35 communicate in English (20 CFR 404.1564 and 416.964).
- 36 9. Transferability of job skills is not material to
37 the determination of disability because using the
38 Medical-Vocational Rules as a framework supports a
39 finding that [Plaintiff] is "not disabled," whether or
40 not the claimant has transferable job skills (See SSR
41 82-41 and 20 CFR Part 404, Subpart P, Appendix 2).
- 42 10. Considering [Plaintiff's] age, education, work
43 experience, and residual functional capacity, there
44 are jobs that exist in significant numbers in the
45 national economy that [Plaintiff] can perform,
46 including the following unskilled light occupations

1 with an SVP of 2^{31/}: inspector (DOT No. 5459.687-074)
 2 of which there are 8400 jobs in the regional economy
 3 and 140,000 in the national economy and hand packer
 4 (DOT No. 685.687-014) of which there are 3500 jobs in
 5 the regional economy and 680,000 jobs in the national
 6 economy. This finding, which is made within the
 framework of Medical-Vocational Rules 202.18 and
 202.11 of Table No.2 of Appendix 2 to Subpart P of
 Regulations No. 4, is predicated on expert vocational
 testimony (20 CFR 404.1569, 404.1569a, 416.969, and
 416.969a).

7 11. The claimant has not been under a disability, as
 8 defined in the Social Security Act, from January 31,
 2007 through the date of this decision (20 CFR
 404.1520(g) and 416.920(g)).

9 VI

10 STANDARD OF REVIEW

11 "The findings of the Commissioner of Social Security as to
 12 any fact, if supported by substantial evidence, shall be conclu-
 13 sive." 42 U.S.C. § 405(g). Substantial evidence is defined as
 14 relevant evidence that a reasonable mind might accept as adequate to
 15 support a conclusion. Richardson v. Perales, 402 U.S. 389, 401
 16 (1971); Mathews v. Shalala, 10 F.3d 678, 679 (9th Cir. 1993)
 17 ("Substantial evidence, considering the entire record, is relevant
 18 evidence which a reasonable person might accept as adequate to
 19 support a conclusion."). A reviewing court's role is not to
 20 determine whether the record can support the claimant's alternative
 21 view of the evidence, but whether substantial evidence supports the
 22 ALJ's conclusions. Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir.
 23 2005) ("Where evidence is susceptible to more than one rational
 24 interpretation, it is the ALJ's conclusion that must be upheld.").

25 A district court may only disturb the Commissioner's final
 26 decision "if it is based on legal error or if the fact findings are

27 ^{31/} SVP stands for Specific Vocational Preparation. An SVP of 2 indicates an
 28 unskilled task that requires less than 31 days of training. 20 C.F.R. § 656.3.

1 not supported by substantial evidence." Sprague v. Bowen, 812 F. 2d
2 1226, 1229 (9th Cir. 1987); see Villa v. Heckler, 797 F.2d 794, 796
3 (9th Cir. 1986). The court cannot affirm the Commissioner's final
4 decision simply by isolating a certain amount of supporting
5 evidence. Rather, the court must examine the administrative record
6 as a whole. Gonzalez v. Sullivan, 914 F.2d 1197, 1200 (9th Cir.
7 1990). However, the Commissioner's findings are not subject to
8 reversal simply because substantial evidence exists in the record to
9 support a different conclusion. See, e.g., Mullen v. Brown, 800 F.2d
10 535, 545 (6th Cir. 1986). The Commissioner's decision must be set
11 aside, even if supported by substantial evidence, if improper legal
12 standards were applied in reaching that decision. See, e.g., Benitez
13 v. Califano, 573 F.2d 653, 655 (9th Cir. 1978).

VII

DISCUSSION

16 Plaintiff's Complaint argues that Plaintiff's "information
17 was not presented and the decision was unfair" and alleges that the
18 Defendants "didn't send me to there [sic] doctor at all...".
19 (Complaint at 1-2). Beyond these two contentions, the Complaint
20 appears to be a litany of Plaintiff's alleged medical conditions.
21 (See Complaint).

22 Plaintiff's Motion for Summary Judgment reiterates the
23 arguments made in the Complaint.^{32/} However, Plaintiff attaches a
24 copy of her Request for Review of Hearing Decision letter, origi-
25 nally sent by her attorney to the Appeals Council. The request
26 letter alleged that the "AIIJ's decision is not based on substantial

28 32/ In fact, Plaintiff's Motion for Summary Judgment appears to be a photocopy of her Complaint with the words "MOTION FOR SUMMARY [sic] JUDGMENT" added immediately after the caption and title.

1 evidence, and that [the ALJ] committed legal error." On November 20,
 2 2009, the Appeals Council denied this request. The Court presumes
 3 that Plaintiff intends the argument presented in the letter to apply
 4 to the Motion for Summary Judgment now before the Court.

5 On this basis, Plaintiff appears to argue that the ALJ erred
 6 in rejecting the opinions of treating physicians in favor of
 7 opinions from one-time examiners and that the ALJ's decision was
 8 therefore not based on substantial evidence.

9 Defendant argues that the ALJ's decision was supported by
 10 substantial evidence, noting that Plaintiff's residual functional
 11 capacity assessment found her able to "perform a range of simple,
 12 repetitive light work..." Defendant notes that assessing residual
 13 functional capacity is an administrative, rather than medical,
 14 function and is the responsibility of the Commissioner. See 20
 15 C.F.R. §§ 404.1427(e), 16.927(e)(2); see also *Vertigan v. Halter*,
 16 260 F.3d 1044, 1049 (9th Cir. 2001) ("it is the responsibility of
 17 the ALJ, not the claimant's physician, to determine residual
 18 functional capacity.") Since the ALJ's decision was based on
 19 substantial evidence, Defendant contends that the ALJ did not commit
 20 legal error.

21

22 A. SUBSTANTIAL EVIDENCE SUPPORTS THE ALJ'S DETERMINATIONS

23 Plaintiff argues that the ALJ erred in rejecting the opinions
 24 of treating doctors in favor of opinions of one-time examiners. As
 25 a matter of law, no error exists. ALJs are not required to give
 26 controlling weight to a treating physician's opinion unless it is
 27 well-supported and not inconsistent with other substantial evidence
 28 in the record. 20 C.F.R. §§ 404.1527(d)(2), 416.927(d)(2) ("If we
 find that a treating source's opinion... is well supported... and

1 not inconsistent with the other substantial evidence... we will give
 2 it controlling weight."); see also *Holohan v. Massanari*, 246 F.3d
 3 1195, 1202-03 (9th Cir. 2001)

4 As explained in *Holohan*, "[a]n ALJ may reject the uncontradicted
 5 medical opinion of a treating physician only for 'clear and
 6 convincing' reasons supported by substantial evidence in the
 7 record." Id. at 1202 (internal quotation marks and citation
 8 omitted). Alternatively, "[a]n ALJ may rely on the medical opinion
 9 of a non-treating doctor instead of the contrary opinion of a
 10 treating doctor only if she or he provides specific and legitimate
 11 reasons supported by substantial evidence in the record." Id.
 12 (internal quotation marks and citation omitted).

13 An ALJ may reject subjective accounts "upon (1) finding
 14 evidence of malingering, or (2) expressing clear and convincing
 15 reasons for doing so." *Benton v. Barnhart*, 331 F.3d 1030, 1040 (9th
 16 Cir. 2003). Dr. Campbell's report, summarized supra, is rife with
 17 evidence of such malingering.

18 Here, opinions by Plaintiff's treating doctors are contradictory.
 19 Even if they were not contradictory, the ALJ had clear and
 20 convincing reasons, supported by substantial evidence in the record,
 21 for not giving the treating doctors' opinions controlling weight.

22 A. DR. CAMPBELL

23 Dr. Campbell, a treating physician, reported that he believed
 24 Plaintiff was precluded from sitting, standing, or walking for
 25 greater than one hour at a time. Also, he reported that she could
 26 not do any repetitive bending or twisting and could not lift more
 27 than 20 pounds. (AR at 238). However, his opinion notes that
 28 Plaintiff had "four extremely positive Waddell tests for symptom
 magnification." (AR at 236) The opinion also quotes Mr. Iannazzo's

1 report of "inconsistencies... show[ing] that [Plaintiff] is
 2 fabricating her symptoms and lifting impairment for secondary gains,
 3 and magnifying her symptoms as to remain out of work... subjective
 4 reports are not trustworthy or accurate of [Plaintiff's] actual...
 5 ability." (Id.) Dr. Campbell concluded that Plaintiff's "subjective
 6 complaints have been out of proportion to physical examination
 7 findings, and functional capacity examination showed inconsistent
 8 and/or submaximal effort..." (AR at 237).

9 Dr. Campbell concludes that "[o]verall, [Plaintiff] is rated
 10 as having a 6 percent impairment of the whole person." (AR at 238).
 11 Although Dr. Campbell believed Plaintiff was precluded from
 12 standing, sitting, or walking for greater than one hour at a time,
 13 a second doctor who reviewed Dr. Campbell's report concluded
 14 otherwise. Dr. Spellman concluded that Plaintiff could sit or stand
 15 for six hours of an eight hour work day.

16 **B. DR. JAFFE (JAFFREY)**

17 On April 14, 2009, Plaintiff visited Dr. Jaffe, another
 18 treating doctor. There are also several records from a Dr. Jaffrey
 19 that are dated April 14, 2009. As noted supra, these appear to be
 20 the same doctor and will be so addressed by the Court.

21 The opinions signed by Drs. Jaffe and Jaffrey are also
 22 inconsistent and clearly controverted by other substantial evidence
 23 in the record. Dr. Jaffe, an osteopathic specialist, recommended
 24 that Plaintiff undergo an MRI and instructed her to call him two
 25 days after the MRI to discuss the results. On April 30, 2009, the
 26 MRI was completed. However, it appears that Dr. Jaffrey's opinions
 27
 28

1 regarding Plaintiff's physical and psychiatric limitations were
2 written on April 14, 2009.^{33/}

3 Dr. Jaffrey's opinion of Plaintiff's physical limitations
4 were that she could sit, stand, or walk for zero hours at a time.
5 However, Dr. Jaffrey contradictorily noted that Plaintiff was able
6 to sit for two hours, stand for one hour, and walk for one hour out
7 of an eight-hour workday. Furthermore, several sections of the
8 opinion incorporated forms with check boxes for various weight
9 ranges. Dr. Jaffrey checked off boxes corresponding to higher weight
10 ranges but did not check off boxes for intermediate or lower
11 ranges. For example, Dr. Jaffrey indicated that Plaintiff could
12 occasionally carry 6-10 pounds but never greater than 21 pounds. Dr.
13 Jaffrey did not mark a box for the 0-5 or 11-20 pound categories.
14 The opinions signed by Drs. Jaffe and Jaffrey are therefore
15 contradictory and internally inconsistent.

16 Even if these opinions were not contradictory, they are
17 clearly controverted by other substantial evidence in the record,
18 including the opinion of Plaintiff's earlier treating physician, Dr.
19 Campbell, as discussed supra. The opinions signed by Drs. Jaffe and
20 Jaffrey are also inconsistent with that given by Dr. Spellman, also
21 discussed supra.

22 Dr. Jaffrey also assessed Plaintiff's psychiatric fitness and
23 recorded his results in Plaintiff's Second MRFC. His reason for
24 doing so, and his ability to do so accurately, are unclear since his
25 area of expertise is osteopathic medicine. Three such MRFCs are in
26 the record; the First MRFC was conducted by Drs. Soliman and Loomis,
27 and the Third MRFC was conducted by a therapist whose name is
28

^{33/} These opinions are signed by Dr. Jaffrey, not Dr. Jaffe.

1 illegible. Of the twenty categories, the Second MRFC matches the
 2 Third MRFC, dated less than a month later, in just six categories.^{34/}

3 The opinion of Dr. Jaffe/Jaffrey, Plaintiff's treating
 4 physician, as to Plaintiff's physical limitations was internally
 5 contradictory and inconsistent with other substantial evidence in
 6 the record. Furthermore, the opinion of Dr. Jaffe/Jaffrey as to
 7 Plaintiff's psychiatric limitations were inconsistent with other
 8 substantial evidence in the record. Therefore, the ALJ did not err
 9 in electing not to give controlling weight to these opinions.

10 C. UNKNOWN THERAPIST / DR. ETCHIE

11 On May 6, 2009, a therapist whose name is illegible wrote the
 12 above-mentioned Third MRFC. The ALJ apparently believed that this
 13 therapist was associated with Dr. Etchie. The therapist noted that
 14 he or she had only seen Plaintiff twice, once for 45 minutes and
 15 once for 25 minutes. On May 18, 2009, Dr. Etchie, Plaintiff's
 16 treating psychiatrist, confirmed Plaintiff's diagnosis of Major
 17 Depressive Disorder with Anxiety Features in a letter addressed "To
 18 Whom It May Concern".

19 The ALJ noted that Dr. Etchie had only seen Plaintiff twice,
 20 once for 45 minutes and once for 25 minutes, and therefore deter-
 21 mined that Dr. Etchie had not established the type of physician-
 22 patient relationship that would lend special weight to a treating
 23 physician's opinion. See 20 C.F.R. §§ 404.1527, 416.927(d)(2)(i)
 24 (length of the treatment relationship and the frequency of examina-
 25 tion are important factors in determining weight assigned to
 26 resulting opinion).

27
 28 ^{34/} The Second MRFC was conducted 20 months after the First MRFC and matches the
 First MRFC in eleven categories.

1 If the ALJ was correct in assuming that Dr. Etchie and the
 2 therapist were associated, the ALJ's determination that no special
 3 physician-patient relationship existed between Plaintiff and Dr.
 4 Etchie is correct. If the ALJ was incorrect, the determination would
 5 apply to the therapist. Furthermore, if the ALJ was incorrect, the
 6 lack of any records beyond Dr. Etchie's "To Whom It May Concern"
 7 letter clearly indicates that Dr. Etchie also lacked such a
 8 physician-patient relationship with Plaintiff.

9 Even if Dr. Etchie or the therapist had established such a
 10 relationship with Plaintiff, the opinion expressed in the Third MRFC
 11 was inconsistent with other substantial evidence in the record. For
 12 example, Drs. Soliman and Loomis determined that Plaintiff had
 13 essentially no psychiatric limitations preventing her from working,
 14 as stated in the First MRFC. (See AR at 280-82). In fact, the Third
 15 MRFC only matches the First MRFC in seven of twenty categories.

16 Since Dr. Etchie and the unknown therapist both failed to
 17 establish a physician-patient relationship of the type entitling
 18 resulting opinions to special weight, the ALJ did not err in
 19 electing not to give such weight to their opinions. Furthermore,
 20 because the opinion of Dr. Etchie or the unknown therapist as to
 21 Plaintiff's psychiatric limitations were inconsistent with other
 22 substantial evidence in the record, the ALJ did not err in electing
 23 not to give controlling weight to these opinions.

24 D. OPINIONS OF OTHER DOCTORS ARE SUBSTANTIAL EVIDENCE SUPPORTING THE
 25 ALJ'S DECISION

26 The ALJ justifiably elected not to give controlling weight to
 27 the opinions of either Plaintiff's actual or purported treating
 28 physicians. See Holohan, 246 F.3d at 1202. Furthermore, the ALJ
 based that election on specific and legitimate reasons developed

1 from substantial evidence in the record. See id. at 1203. Specifically,
2 the opinions of Plaintiff's physical limitations signed by
3 Drs. Jaffe and Jaffrey were controverted by the opinion of Plaintiff's
4 earlier treating physician, Dr. Campbell. Furthermore, the
5 opinions of Dr. Etchie and the unknown therapist were controverted
6 by the opinions of Drs. Soliman and Loomis.

7 Since the ALJ had specific and legitimate reasons for his
8 election and because that election was within the bounds of the
9 relevant law, the ALJ did not commit legal error as alleged by
10 Plaintiff.

11 For the aforementioned reasons, the Court RECOMMENDS
12 Plaintiff's Motion for Summary Judgment be DENIED and Defendant's
13 Motion for Summary Judgment be GRANTED.

14 VI

15 CONCLUSION AND RECOMMENDATION

16 After a review of the record in this matter, the undersigned
17 Magistrate Judge RECOMMENDS that the Plaintiff's Motion for Summary
18 Judgment be DENIED and Defendant's Motion for Summary Judgment be
19 GRANTED.

20 This Report and Recommendation of the undersigned Magistrate
21 Judge is submitted to the United States District Judge assigned to
22 this case, pursuant to the provision of 28 U.S.C. § 636(b)(1).

23 **IT IS ORDERED** that no later than September 6, 2011, any party
24 to this action may file written objections with the Court and serve
25 a copy on all parties. The document should be captioned "Objections
26 to Report and Recommendation."

27 **IT IS FURTHER ORDERED** that any reply to the objections shall
28 be filed with the court and served on all parties no later than
September 20, 2011. The parties are advised that failure to file

1 objections within the specified time may waive the right to raise
2 those objections on appeal of the Court's order. Martinez v. Ylst,
3 951 F.2d 1153 (9th Cir. 1991).

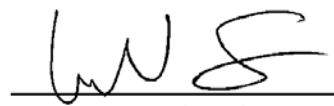
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5 DATED: August 16, 2011

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9 Hon. William V. Gallo
10 U.S. Magistrate Judge
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